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## Foreword

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## FOREWORD

This year marks the sixth year of publication of the *North Carolina Banking Institute* journal in conjunction with the sixth UNC School of Law Banking Institute. In July of 2000, the UNC School of Law recognized the impact of these two programs and formally established the Center for Banking and Finance. The mission of the Center is to serve the region's dynamic financial institution community through the study of legal and policy issues related to banking and finance, advancing the teaching of banking and finance, and sponsoring conferences for practicing attorneys. The Center is the official sponsor of the annual Banking Institute and advises the student-edited journal.

The Center is currently engaged in the following projects:

- Research for the North Carolina Commissioner of Banks to help develop a plan for the effective, efficient and equitable regulation of banks and savings institutions.
- The Practitioners in Residence program, which brings to the School of Law distinguished practitioners to share their expertise with students on cutting-edge issues related to banking and finance.

Practitioners in Residence for the 2001-2002 academic year were: George Beischer, Chairman of the Board, Cardinal State Bank; David Batty, Rick Brown and Jim Hedrick, Kennedy Covington Lobdell & Hickman; Tony Gaeta, Gaeta & Glesener; and Gene Katz, Womble Carlyle Sandridge & Rice.

- Sponsorship of:

The 2002 Banking Institute in Charlotte, North Carolina, on April 4th and 5th. Speakers at the 2002 Institute included: Ken Thompson, CEO of Wachovia; Donald Powell, Chairman of the FDIC; and Rodgin Cohen, Sullivan & Cromwell. Topics included: deposit accounts as collateral under Revised Article 9;

predatory lending; banking under the Patriot Act; bank affiliated broker-dealer issues; Gramm-Leach-Bliley Act update; and the relationship between bank counsel, outside counsel and the bank client.

- Co-sponsorship of:

*Back to the Fundamentals: Insurance Regulation, Broker-Dealer Regulation, and Investment Advisors Regulation* (with the American Bar Association), Washington, D.C. on November 8-10, 2001.

- Publication of REGULATION OF BANK FINANCIAL SERVICES ACTIVITIES (Lissa L. Broome & Jerry Markham) (West Group 2001) and a desk reference volume of selected statutes.
- Planning assistance for the pilot phase of the Community Development Clinic at the School of Law.

The *North Carolina Banking Institute* journal (<http://www.unc.edu/ncbank>) is an essential source for anyone researching in the area of banking law or desiring to stay informed on the latest issues. Past articles and notes are available on both Westlaw and Lexis. Prior copies of the journal can be obtained by contacting the journal directly.

We are proud of what we have accomplished to date and look forward to broadening our outreach in ways that would be beneficial to you. Please contact the Center's director, Professor Lissa Broome ([lbroome@email.unc.edu](mailto:lbroome@email.unc.edu)), to share your ideas and to become involved in the Center's activities.

Lissa L. Broome, for the Center  
for Banking and Finance

and

Board of Editors  
North Carolina Banking Institute

*The views expressed in signed contributions to the North Carolina Banking Institute do not necessarily reflect the opinions of the Board of Editors, the Board of Advisors, or the Center for Banking and Finance.*

